

# TRANSMITTAL FORM

(to be used for all correspondence after initial filing)  
Total Number of Pages in this Submission 11

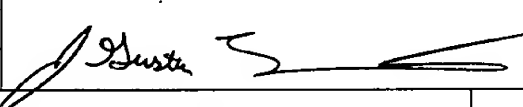
Application Number: 09/781,127  
Filing Date: 02-09-2001  
First Named Inventor: Richards, et al.  
Group Art Unit: 2173  
Examiner: Unknown  
Attorney Docket No.:  
**INTERKNECTIVES101**

N # Sector  
#3!

ENCLOSURES (check all that apply)

- |   |   |  |
|---|---|--|
| <input checked="" type="checkbox"/> Fee Transmittal Form<br><input checked="" type="checkbox"/> Fee Attached<br><input type="checkbox"/> Amendment/Response<br><input type="checkbox"/> After Final<br><input type="checkbox"/> Affidavits/Declaration(s)<br><input type="checkbox"/> Extension of Time Request<br><input type="checkbox"/> Express Abandonment Request<br><input type="checkbox"/> Information Disclosure Statement<br><input type="checkbox"/> Certified Copy of Priority Document(s)<br><input checked="" type="checkbox"/> Response to Missing Parts/Incomplete Application<br><input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53 | <input checked="" type="checkbox"/> Assignment Papers (for an Application)<br><input type="checkbox"/> Drawing(s)<br><input type="checkbox"/> Licensing-related Papers<br><input type="checkbox"/> Petition Routing Slip (PTO/SB/69) and Accompanying Petition<br><input type="checkbox"/> To Convert a Provisional Application<br><input type="checkbox"/> Power of Attorney, Revocation, Change of Correspondence Address<br><input type="checkbox"/> Terminal Disclaimer<br><input checked="" type="checkbox"/> Small Entity Statement<br><input type="checkbox"/> Request for Refund<br><input type="checkbox"/> After Allowance Communication to Group | <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)<br><input type="checkbox"/> Proprietary Information<br><input type="checkbox"/> Status Letter<br><input type="checkbox"/> Request for Corrected Filing Receipt<br><input type="checkbox"/> Letter to Draftsperson<br><input type="checkbox"/> Formal Drawings (after initial filing)<br><input type="checkbox"/> Issue Fee Transmittal<br><input type="checkbox"/> Request for Corrected Assignment<br><input checked="" type="checkbox"/> Additional Enclosure(s) (please identify below):<br>Request to Rescind Previous Nonpublication Request |
|---|---|--|

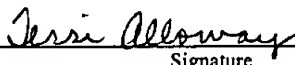
Remarks:

Firm Name	Simon, Galasso & Frantz, PLC P.O. Box 26503 Austin, Texas 78755-0503	
Signature of Applicant, Attorney, or Agent		
Name and Registration No.:	J. Gustav Larson, Reg. No. 39,263	Date:

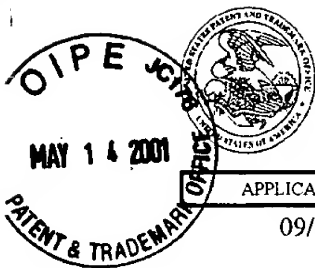
## CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail, in an envelope addressed to Assistant Commissioner for Patents, Washington, D.C. 20231 on 5-11-2001.

Terri Alloway  
Typed or Printed Name

  
Signature

#3



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/781,127	02/09/2001	Ian Richards	INTERKNECTIVES101

27412  
SIMON, GALASSO & FRANTZ PLC  
P.O. BOX 26503  
AUSTIN, TX 78755-0503

CONFIRMATION NO. 1701

## FORMALITIES LETTER



\*OC000000005861217\*

Date Mailed: 03/14/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

05/16/2001 DTESSEH1 00000090 09781127

FILED UNDER 37 CFR 1.53(b)

01 FC:201	355.00 OP
02 FC:205	65.00 OP
03 FC:203	189.00 OP
04 FC:202	160.00 OP

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 355 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$349.
  - \$189 for 21 total claims over 20.
  - \$160 for 4 independent claims over 3.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 769.

The application is informal since it does not comply with the regulations for the reason(s) indicated below. Applicant is given TWO MONTHS from the date of this Notice within which to correct the informalities indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. ( 5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

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*A copy of this notice MUST be returned with the reply.*

*Alemt-Ross-PC/MS*  
Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

#3

Applicant(s): Richards, et al.

Title: INTERACTIVE METHOD AND SYSTEM FOR HUMAN NETWORKING

App. No.: 09/781,127

Filed: 02-09-01

Examiner:

Group Art Unit: 2173

Atty. Dkt. No. INTERKNECTIVES101

Attention: Box Missing Parts  
Honorable Commissioner of  
Patents and Trademarks  
Washington, D.C. 20231

## RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION

Dear Sir:

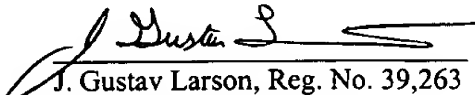
In response to the Notice to File Missing Parts of Application mailed March 14, 2001, please find enclosed herewith:

- ☒ a Transmittal Form;
- ☒ a copy of the Notice to File Missing Parts of Application;
- ☒ a Fee Transmittal Form with Fee;
- ☒ an Assignment to Interknectives with Recordation Form Cover Sheet;
- ☒ a Statement Claiming Small Entity Status;
- ☒ Request to Rescind Previous Nonpublication Request; and
- ☒ a return postcard.

Respectfully submitted,

Date

5-11-01

  
J. Gustav Larson, Reg. No. 39,263  
Attorney for Applicant(s)  
Simon, Galasso & Frantz, PLC  
P.O. Box 26503  
Austin, Texas 78755-0503  
(512) 336-8597 (phone)  
(512) 336-9155 (fax)



# FEE TRANSMITTAL FOR FY 2001

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$) 809.00

## Complete if Known METHOD OF PAYMENT (check one)

1. ☐ The Commissioner Is hereby authorized to charge  
Indicated fees and credit any over payments to:

Deposit Account Number	50-1259
Deposit Account Name	Simon, Galasso & Frantz, PLC

- ☐ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17
- ☐ Charge the Issue Fee Set in 37 CFR 1.18 at the mailing of the Notice of Allowance
- ☒ Applicant claims small entity status.  
See 37 CFR 1.27

2. ☐ Payment Enclosed:  
☐ Check ☐ Money Order ☐ Other

## FEE CALCULATION

### 1. FILING FEE

Large Entity Fee Code	Small Entity Fee Code	Fee Description	Fee Paid
101 710 201 355		Utility filing fee	355.00
106 320 206 160		Design filing fee	
107 490 207 245		Plant filing fee	
108 710 208 355		Reissue filing fee	
114 150 214 75		Provisional filing fee	

SUBTOTAL (1) (\$) 355.00

### 2. CLAIMS

Claims	Extra	Fee from below	Fee Paid
Total 41	(-20 =) 21	9.00	189.00
Indep. 7	(-3 =) 4	40.00	160.00
Multiple Dep.			

Large Entity Fee Code	Small Entity Fee Code	Fee Description
103 18 203 9		Claims in excess of 20
102 80 202 40		Independent claims in excess of 3
104 270 204 135		Multiple dependent claim
109 80 209 40		Reissue Independent claims over original patent
110 18 210 9		Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$) 349.00

Application Number	09/781,127
Filing Date	02-11-2000
First Named Inventor	Richards, et al.
Group Art Unit	2173
Examiner Name	Unknown
Attorney Docket Number	INTERKNECTIVES101

## FEE CALCULATION (continued)

### 3. ADDITIONAL FEES

Large Entity Fee Code	Small Entity Fee Code	Fee Description	Fee Paid
105 130 205 65		Surcharge - late filing fee or oath	65.00
127 50 227 25		Surcharge - late provisional filing fee or cover sheet	
139 130 139 130		Non-English specification	
147 2,520 147 2,520		For filing a request for reexamination	
112 920* 112 920*		Requesting publication of SIR prior to Examiner action	
113 1,840* 113 1,840*		Requesting publication of SIR after Examiner action	
115 110 215 55		Extension for reply within first month	
116 390 216 195		Extension for reply within second month	
117 890 217 445		Extension for reply within third month	
118 1,390 218 645		Extension for reply within fourth month	
128 1,890 228 945		Extension for reply within fifth month	
119 310 219 155		Notice of Appeal	
120 310 220 1550		Filing a brief in support of an appeal	
121 270 221 135		Request for oral hearing	
138 1,510 138 1,510		Petition to institute a public use proceeding	
140 110 240 55		Petition to revive - unavoidable	
141 1,240 241 620		Petition to revive - unintentional	
142 1,240 242 620		Utility issue fee (or reissue)	
143 440 243 220		Design issue fee	
144 600 244 300		Plant issue fee	
122 130 122 130		Petitions to the Commissioner	
123 50 123 50		Petitions related to provisional applications	
126 240 126 240		Submission of Information Disclosure Stmt	
581 40 581 40		Recording each patent assignment per property (times number of properties)	40.00
146 710 246 355		Filing a submission after final rejection (37 CFR 1.129(a))	
149 710 249 355		For each additional invention to be examined (37 CFR 1.129(b))	
Other fee (specify)			
Other fee (specify)			

\* Reduced by Basic Filing Fee Paid SUBTOTAL (3) (\$) 105.00

SUBMITTED BY: Simon, Galasso & Frantz, PLC.		Complete (if applicable)	
Typed or Printed Name		J. Gustav Larson, Reg. No. 39,263	
Signature		Date	5-11-01
Deposit Account User ID			



PTO/SB/36 (11-00)

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

**REQUEST TO RESCIND PREVIOUS  
NONPUBLICATION REQUEST  
35 U.S.C. 122(b)(2)(B)(ii)**

Application Number	09/781,127
Filing Date	02-09-2001
First Named Inventor	Richards, et al.
Title	Interactive Method & System for Hur
Atty Docket Number	INTERKNECTIVES10
Group Art Unit	2173
Examiner	Unknown

I hereby **rescind** the previous request that the above-identified application not be published under 35 U.S.C. 122(b).

5-11-01

Date

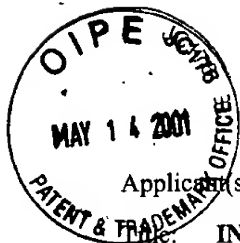
  
Signature

J. Gustav Larson, Reg. No. 39,263

Typed or printed name

This request must be signed in compliance with 37 CFR 1.33(b).

Burden Hour Statement: This collection of information is required by 37 CFR 1.213(b). The information is used by the public to rescind a previously filed request that an application not be published under 35 U.S.C. 122(b) (and the PTO to process that rescission). Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This form is estimated to take 6 minutes to complete. This time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

# 4

Applicant(s): Richards, et al.

Title: INTERACTIVE METHOS AND YSSTEM FOR HUMAN NETWORKING

App. No.: 09/781,127

Filed: 02-09-2001

Examiner: Unknown

Group Art Unit: 2173

Atty. Dkt. No. INTERKNECTIVES101

Assistant Commissioner for Patents  
Washington, D.C. 20231

## STATEMENT CLAIMING SMALL ENTITY STATUS

Dear Sir:

NAME OF SMALL BUSINESS CONCERN: INTERKNECTIVES US LLCADDRESS OF SMALL BUSINESS CONCERN: 201 N. Weston Lane, Austin, Texas 78733

I hereby state that the above identified small business concern qualifies as a small business concern as defined in 13 C.F.R. Part 21 for purposes of paying reduced fees to the United States Patent and Trademark Office. Questions related to size standards for a small business concern may be directed to: Small Business Administration, Size Standards Staff, 409 Third Street, SW, Washington, D.C. 20416.

I hereby state that rights under contract or law have been conveyed to and remain with the small business concern identified above with regard to the invention described in:

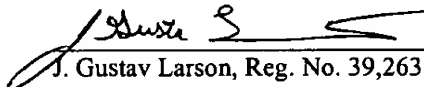
☒ the specification filed herewith with title as listed above.☐ the application identified above.☐ the patent identified above.

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 C.F.R. 1.28(b))

Respectfully submitted,

5-11-01

Date

  
J. Gustav Larson, Reg. No. 39,263  
Attorney for Applicant(s)  
Simon, Galasso & Frantz, PLC  
P.O. Box 26503  
Austin, Texas 78755-0503  
(512) 336-8597 (phone)  
(512) 336-9155 (fax)